## TRANSMITTAL FORM

### FOR NATIONAL STAGE APPLICATIONS FILED UNDER 35 U.S.C. §371

ATTORNEY DOCKET NO. 70075.0009USWO

BASED ON INTERNATIONAL APPLICATION NO.

PCT/BE2004/000111

INTERNATIONAL FILING DATE

REGISTRATION NO.

August 4, 2004

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INVENTOR(S)

NAME

Arthur M. DEBOECK et al.

10/567,407

TITLE OF APPLICATION SINGLE UNIT PHARMACEUTICAL COMPOSITION COMPRISING A MIXTURE OF A FIBRATE AND AN HOMOCYSTEINE REDUCING AGENT

,	Mail Stop: PCT			-				
ADDRESS TO	ADDRESS TO: Commissioner for Patents							
	P.O. BOX 1450							
ALEXANDRIA, VA 22313-1450 ENCLOSURES								
	ENCL	JOURE	<u> </u>					
<ul> <li>☑ Transmittal Form For National Stage Applications Filed Under 35 U.S.C. §371</li> <li>☑ Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Submission Under 35 U.S.C. §371 (In Duplicate)</li> <li>☑ Fee Transmittal (In Duplicate)</li> <li>☑ Oath or Declaration of the Inventor(s) {35 U.S.C. §371(c)(4)}</li> <li>☑ Information Disclosure Statement</li> <li>☑ PTO Form 1449 (1 Sheet)</li> <li>☑ Cited Documents (4 pp)</li> <li>☑ International Preliminary Report on Patentability (6 pp)</li> <li>☑ Change of Correspondence Address</li> <li>☑ Notification of Missing Requirements (Return Copy)</li> <li>☑ Response to Notification of Missing Requirements</li> <li>☑ Request for Extension of Time</li> <li>☑ List of Practitioners to be Recognized by the Office as Being of Record</li> <li>☑ Return Postcard</li> </ul>								
Please charge Deposit Account No. 13-2725 in the amount of \$250.00 to cover any required fees. In the event any variance exists between this amount and the Patent Office charges for filing the above-noted documents, including any fees required under 35 CFR 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 13-2725. Further, if these papers are not considered timely filed, then a request is hereby made under 37 CFR 1.136 for the necessary extension of time.								
CORRESPONDENCE ADDRESS								
∑ The address associated with Customer Number: 23552     ☐ the correspondence address below.								
Name								
Address								
City State Zip Code								
NAME	William E. Beaumont		REGISTRATION NO.	30,996				
SIGNATURE	hillain E. Beaument	DATE	November 13, 2006	TELEPHONE	202 326-0300			

## FEE TRANSMITTAL

ATTORNEY DOCKET NO. 70075.0009USWO

U.S. APPLICATION SERIAL NO.

CONFIRMATION NO.

10/567.407

9466

FILING DATE

Not Assigned

INVENTOR(S)

EXAMINER

GROUP ART UNIT

Arthur M. DEBOECK et al. TITLE OF APPLICATION

**Not Assigned** SINGLE UNIT PHARMACEUTICAL COMPOSITION COMPRISING A MIXTURE OF A FIBRATE AND AN

N/A

**HOMOCYSTEINE REDUCING AGENT** 

Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** \$250.00

Certain fees are reduced by 1/2.

Please charge Deposit Account No. 13-2725 in the amount of \$250.00 to cover any required fees. In the event any variance exists between this amount and the Patent Office charges for filing the documents noted below, including any fees required under 37 CFR 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 13-2725. Further, if these papers are not considered timely filed, then a request is hereby made under 37 CFR 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed for fee processing.

### The following fees have been submitted:

### **APPLICATION FEES**

	FEE CODE	DESCRIPTION	FEE	CALCULATE
	1011	Basic Filing Fee - Utility	\$300.00	
	1111	Utility Search Fee	\$500.00	
	1311	Utility Examination Fee	\$200.00	
	1012	Basic Filing Fee - Design	\$200.00	
	1112	Design Search Fee	\$100.00	
	1312	Design Examination Fee	\$130.00	
	1005	Provisional Application Filing Fee	\$200.00	
	1014	Basic Filing Fee - Reissue	\$300.00	
Ø	1051	Surcharge - Late Filing Fee, Search Fee, Examination Fee or Oath or Dec.	\$130.00	\$130.00
	1801	Request for Continued Examination	\$790.00	
	1814	Terminal Disclaimer	\$130.00	
	1452	Petition to Revive Unavoidably Abandoned Application	\$500.00	
	1453	Petition to Revive Unintentionally Abandoned Application	\$1,500.00	
			SUB TOTAL	\$ 130.00

#### **EXTENSION OF TIME FEES**

	FEE CODE	DESCRIPTION	FEE	SUBMITTED	
X	1251	Extension for Response Within the First Month	\$120.00	\$120.00	
	1252	Extension for Response Within the Second Month	\$450.00		
	1253	Extension for Response Within the Third Month	\$1,020.00		
	1254	Extension for Response Within the Fourth Month	\$1,590.00		
	1255	Extension for Response Within the Fifth Month	\$2,160.00		
Credit for Extensions Previously Paid					
			SUB TOTAL	\$ 120.00	

### **APPLICATION SIZE FEES**

	<u> </u>								
Additional Fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets or fraction thereof.									
	Total Sheets	Extra Sheets 5 10567407	Number of each additional 50 sheets or fraction thereof (round up to whole no.)	RATE		\$0.00			
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	70075.0009USWO				10/567,407			Not Assig	ned		
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GLA	CLAIM FEES  CLAIMS NUMBER FILED				NUMBER PREV. MAX. PAID FOR PAID			NUMBER OF ADD'L CLAIMS			
	Total Claims 0					⊠	20		x \$50	\$0.00	\$0.00
Inc	Independent Claims 0					Ø	3		x \$200	\$0.00	φυ.υυ
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						TOTAL OF	ABOVE CALC	ULATIONS =			
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☐ 1401 Notice of Appeal									\$500.00		
☐ 1402 Filing a Br			ng a Brief ir	Suppor	t of an Appea	l				\$500.00	
	1403	Red	quest for or	al Hearin	g					\$1,000.00	
										SUB TOTAL	\$ 0.00
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	1502		Design Issue Fee							\$800.00	
	1503 Plant Issue Fee						\$1,100.00				
								SUB TOTAL	\$ 0.00		
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	TOTAL OF FEES SUBJECT TO REDUCTION FOR SMALL ENT  Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					x 1.00=	\$250.00				
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吊	1504/150		Recording Assignment, Agreement or Other Paper <u>0</u> properties x  Publication/Republication Fee					\$300.00			
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NAI	ME		E. Beaum					REGIS	TRATION NO.	30,996	
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NA	ME							REGIS	TRATION NO.		



### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

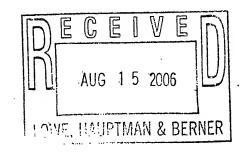
U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/567,407 Arthur Deboeck 2505-017 **MERCHANT & GOULD** INTERNATIONAL APPLICATION NO. RECEIVED PCT/BE04/00111 22429 PRIORITY DATE I.A. FILING DATE LOWE HAUPTMAN BERNER, LLP AUG 1 7 2006 08/04/2004 1700 DIAGONAL ROAD 08/05/2003 MPLS SUITE 300 DOCKETE ALEXANDRIA, VA 22314 **CONFIRMATION NO. 9466** 371 FORMALITIES LETTER DUE DATE: L MISSING \*OC000000019982758\*

Date Mailed: 08/11/2006

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/06/2006
  - Copy of the International Search Report filed on 02/06/2006
  - Copy of IPE Report filed on 02/06/2006
  - Information Disclosure Statements filed on 02/06/2006
  - U.S. Basic National Fees filed on 02/06/2006
  - Priority Documents filed on 02/06/2006
  - Specification filed on 02/06/2006
  - Claims filed on 02/06/2006
  - Abstracts filed on 02/06/2006



The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
  or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the
  missing items identified in this letter.

### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Sürcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

### BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/567,407	PCT/BE04/00111	2505-017

FORM PCT/DO/EO/905 (371 Formalities Notice)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

ATTORNEY DOCKET NO. 70075,0009USWO

U.S. APPLICATION SERIAL NO. (If known, see 37 C.F.R. §1.5)

10/567,407

INTERNATIONAL APPLICATION NO.
PCT/BE2004/000111

INTERNATIONAL FILING DATE
August 5, 2003

PRIORITY DATE CLAIMED

TITLE OF APPLICATION

SINGLE UNIT PHARMACEUTICAL COMPOSITION COMPRISING A MIXTURE OF A FIBRATE AND AN HOMOCYSTEINE REDUCING AGENT

APPLICANT(S) FOR DO/EO/US

Arthur M. DEBOECK et al.

MAIL STOP: PCT COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

Dear Sir:

Responsive to the notification dated August 11, 2005, and in compliance with the provisions of 37 C.F.R. 1.497(a) and (b), Applicants submit herewith an executed Declaration in connection with the above-referenced patent application. The Declaration identifies the instant application by its title "SINGLE UNIT PHARMACEUTICAL COMPOSITION COMPRISING A MIXTURE OF A FIBRATE AND AN HOMOCYSTEINE REDUCING AGENT," which title was on the specification of the application as filed under 35 U.S.C. §371 on February 6, 2006. The required fee was paid at the time of filing the application.

In view of the foregoing, this application is deemed to be in proper condition for examination on the merits and such favorable action is earnestly solicited.

S/N 10/567,407

Respectfully submitted,

Registration No. 30,996

MERCHANT & GOULD, P.C.

November 13, 2006

Date

P.O. Box 2903 Minneapolis, Minnesota 55402-0903 Telephone No. (202) 326-0300 Facsimile No. (202) 326-0778

23552

Patent & Trademark Office

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#### ATTORNEY DOCKET NO. 70075.0009USWO LIST OF PRACTITIONERS TO BE U.S. APPLICATION SERIAL NO. CONFIRMATION NO. **RECOGNIZED BY** 9466 10/567,407 THE OFFICE AS BEING OF RECORD FILING DATE **Not Assigned** NVENTOR(S) EXAMINER (If known) ART UNIT (If known) Arthur M. DEBOECK et al. **Not Assigned** N/A TITLE OF APPLICATION SINGLE UNIT PHARMACEUTICAL COMPOSITION COMPRISING A MIXTURE OF A FIBRATE AND AN HOMOCYSTEINE REDUCING AGENT

Mail Stop PCT COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

Please recognize the following practitioner(s) as being of record in the abovecaptioned patent application:

1. William E. Beaumont

Registration No. 30,996.

Respectfully submitted,

William E. Beaumont

Registration No. 30,996

MERCHANT & GOULD, P.C.

November 13, 2006

Date

P.O. Box 2903 Minneapolis, Minnesota 55402-0903 Telephone No. (202) 326-0300 Facsimile No. (202) 326-0778

23552

Patent & Trademark Office